

"I'd like to express my endorsement for Lisa Roth for the 3rd Class Seat of the FINRA Board of Governors. Lisa and I served on several committees together over the years, including participation in the FINRA Small Firm Rules Impact Taskforce, and our current work together on the FINRA Small Firm Advisory Board. Over these last 4 years, I have seen firsthand Lisa's tireless efforts in advocating the needs of and expressing the regulatory impact to small firm broker-dealers. As our industry prepares to fully understand and implement the myriad new rules resulting from Financial Regulatory Reform, now more than ever we need a strong voice to speak on behalf of small firms. I personally know of no one that I feel would better represent their small firm constituents on the FINRA Board of Governors than Lisa. Her knowledge, professionalism and willingness to speak openly in an effort to share her views on behalf of small firms have been and hopefully will continue to be heard loud and clear."

Deborah Castiglioni

CEO, CCO

Cutter & Company, Inc.