

"I have had the pleasure of knowing and working with Lisa Roth for over ten years, and have found her to be one of the most knowledgeable, responsive, and committed people in our entire industry. She is a leader, she has a stellar vision as to the 'proper positioning' of smaller to mid-size Broker-Dealers, and would represent OUR INTERESTS better than anyone I know."

*Dolliver H. Frederick*

*Broker Principal & CEO*

*DH Frederick Securities, Inc.*